



01-07-04

Docket No. 7390/AKT/DISPLAY/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Shinichi Kurita, Emanuel Beer, Hung T. Nguyen and Wendell T. Blonigan
Title: TRANSFER CHAMBER FOR VACUUM PROCESSING SYSTEM
Serial No.: 10/601,185
Filed: June 20, 2003

Transmitted herewith is:

- ☒ Information Disclosure Statement
☒ PTO Form 1449 with five cited references
☒ Return Postcards

FEE CALCULATION

| Fee Items | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate | Total |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Total Claims | N/A | - 20 = | -0- | X \$18.00 | \$0.00 |
| Independent Claims | N/A | - 3 = | -0- | X \$84.00 | \$0.00 |
| Basic Filing Fee | | | | \$740.00 | \$0.00 |
| TOTAL FEES | | | | | \$0.00 |

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:
**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O.BOX 450A
Santa Clara, CA. 95052**

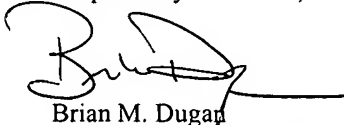
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975549745US

Date of Deposit: January 6, 2004

Signature: 

Respectfully submitted,


Brian M. Dugan
Registration No. 41,720
(914) 332-9081



Express Mail Label No. EL975549745US

PATENTS
7390/AKT/DISPLAY/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Shinichi Kurita, Emanuel Beer, Hung T. Nguyen
and Wendell T. Blonigan
Serial No. : 10/601,185
Filed : June 20, 2003
For : TRANSFER CHAMBER FOR VACUUM PROCESSING SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 4,455,177, Filippov et al.

U.S. Patent No. 4,632,624, Mirkovich et al.

U.S. Patent No. 4,790,750, Bourel et al.

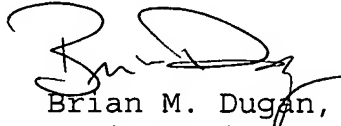
Foreign Art Reference No. EP 0 928 014 A2 (EPO)

Foreign Art Reference No. EP 1 065 701 A2 (EPO)

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449) and copies are
enclosed.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Brian M. Dugan', is written over the typed name.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914)332-9081

Dated: January 6, 2004
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

7390/AKT/DISPLAY/RKK

Serial No.:

10/601,185

LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant(s):

Shinichi Kurita et al.

Filing Date:

06/20/03

Group:

U.S. Patent Documents

| *Examiner Initial | | Document Number | Issue Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|------------|------------------|-------|----------|----------------------------|
| | AA | 4,455,177 | 06/19/84 | Filippov et al. | | | |
| | AB | 4,632,624 | 12/30/86 | Mirkovich et al. | | | |
| | AC | 4,790,750 | 12/13/98 | Bourel et al. | | | |
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| | AJ | | | | | | |
| | AK | | | | | | |

Foreign Patent Documents

Translation

| | | Document Number | Date | Country | Class | Subclass | Yes | No |
|--|----|-----------------|----------|---------|-------|----------|-----|----|
| | AL | EP 0 928 014 A2 | 07/07/99 | EPO | | | | |
| | AM | EP 1 065 701 A2 | 01/03/01 | EPO | | | | |
| | AN | | | | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | |
| | AS | |
| | AT | |

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.